

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 10/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 4,696,546	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(32,897)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 4,676	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 4,668,325	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,381	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 1,381	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 4,669,706	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 4,669,706	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 10/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 6,030,572	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 6,030,572	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 1,190,211	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(101,147)	5800		
D. Value of long option contracts	4,676	5810		
E. Value of short option contracts	0	5815	1,093,740	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 7,124,312	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 2,454,606	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			1,454,606	5985

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 9/30/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 4,510,275	5615
B. Securities (at market)		\$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$(170,527)	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 5,563	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(2,700)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,342,611	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 1,186	5651
Less: amount offset by customer owned securities	\$ 0		5652
		\$ 1,186	5654
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 4,343,797	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 4,343,797	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 9/30/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 5,058,493	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 5,058,493	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 1,841,318	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(77,325)	5800		
D. Value of long option contracts	5,563	5810		
E. Value of short option contracts	(2,700)	5815	1,766,856	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 6,825,349	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 2,481,552	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			1,481,552	5985

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 8/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 2,911,584	5615
B. Securities (at market)		\$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 598,660	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 6,513	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(5,878)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 3,510,879	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 1,996	5651
Less: amount offset by customer owned securities		\$ 0	5652
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 3,512,875	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 3,512,875	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 9/30/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 4,510,275	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(170,527)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 5,563	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(2,700)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 4,342,611	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,186	5651
	Less: amount offset by customer owned securities	\$ 0	5652
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 4,343,797	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 4,343,797	5660

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PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 9/30/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 5,058,493	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 5,058,493	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 1,841,318	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(77,325)	5800		
D. Value of long option contracts	5,563	5810		
E. Value of short option contracts	(2,700)	5815	1,766,856	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 6,825,349	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 2,481,552	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			1,481,552	5985

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 8/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 4,977,051	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 4,977,051	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 807,603	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	655,630	5800		
D. Value of long option contracts	6,513	5810		
E. Value of short option contracts	(5,878)	5815	1,463,868	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 6,440,919	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 2,928,044	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			1,928,044	5985



Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 7/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 3,391,188	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(244,872)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 6,547	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(6,553)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 3,146,310	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 3,455	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 3,455	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 3,149,765	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 3,149,765	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 7/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 3,238,279	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 3,238,279	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 1,915,041	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(240,330)	5800		
D. Value of long option contracts	6,547	5810		
E. Value of short option contracts	(6,553)	5815	1,674,705	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,912,984	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,763,219	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			763,219	5985

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 6/30/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 2,958,044	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(332,871)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 2,140	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 2,627,313	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 554	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 554	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 2,627,867	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 2,627,867	5660

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PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 6/30/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 2,433,426	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 2,433,426	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 1,546,911	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(370,216)	5800		
D. Value of long option contracts	2,140	5810		
E. Value of short option contracts	0	5815	1,178,835	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 3,612,261	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 984,394	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			(15,606)	5985

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 5/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 2,912,425	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 143,175	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 3,216	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 3,058,816	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 268	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 268	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 3,059,084	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 3,059,084	5660

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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 5/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 3,590,547	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 3,590,547	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 938,254	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	120,216	5800		
D. Value of long option contracts	3,216	5810		
E. Value of short option contracts	0	5815	1,061,686	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,652,233	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,593,149	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			593,149	5985

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 4/30/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 3,010,394	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$(87,232)	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 785	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 2,923,947	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 121	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 121	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 2,924,068	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 2,924,068	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 4/30/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 3,961,336	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 3,961,336	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 522,976	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(15,375)	5800		
D. Value of long option contracts	785	5810		
E. Value of short option contracts	0	5815	508,386	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,469,722	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,545,654	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			545,654	5985



Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 3/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 3,246,360	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 6,325	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 2	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(1)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 3,252,686	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 140	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 140	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 3,252,826	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 3,252,826	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 3/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 4,213,676	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 4,213,676	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 390,571	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	6,724	5800		
D. Value of long option contracts	2	5810		
E. Value of short option contracts	(1)	5815	397,296	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,610,972	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,358,146	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			358,146	5985

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 2/28/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 3,168,128	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 54,791	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 193	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$(52)	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 3,223,060	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 1,183	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 1,183	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 3,224,243	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 3,224,243	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 2/28/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 4,111,750	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 4,111,750	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 526,143	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	30,808	5800		
D. Value of long option contracts	193	5810		
E. Value of short option contracts	(52)	5815	557,092	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,668,842	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,444,599	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			444,599	5985

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 1/31/2018

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 3,397,486	5615
B. Securities (at market)		\$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 85,633	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 168	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(61)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 3,483,226	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 2,269	5651
Less: amount offset by customer owned securities		\$ 0	5652
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 3,485,495	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 3,485,495	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 1/31/2018

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 3,901,785	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 3,901,785	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 810,609	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	33,575	5800		
D. Value of long option contracts	168	5810		
E. Value of short option contracts	(61)	5815	844,291	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,746,076	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,260,581	5980
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5985
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			260,581	5990

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 12/31/2017

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 3,561,249	5615
B. Securities (at market)		\$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$(107,086)	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 155	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(80)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 3,454,238	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 1,371	5651
Less: amount offset by customer owned securities		\$ 0	5652
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 3,455,609	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 3,455,609	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 12/31/2017

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 3,958,344	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 3,958,344	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 993,709	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	(113,264)	5800		
D. Value of long option contracts	155	5810		
E. Value of short option contracts	(80)	5815	880,520	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 4,838,864	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,383,255	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			383,255	5985



Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 11/30/2017

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash		\$ 3,869,624	5615
B. Securities (at market)		\$ 0	5617
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$(82,834)	5625
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		\$ 750	5635
B. Market value of open option contracts granted (sold) on a foreign board of trade		\$(268)	5637
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 3,787,272	5645
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 1,509	5651
Less: amount offset by customer owned securities	\$ 0		5652
		\$ 1,509	5654
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 3,788,781	5655
7. Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.		\$ 3,788,781	5660

Name of Company: GAIN CAPITAL GROUP LLC	Employer ID No: 113700865	NFA ID No: 0339826
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CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 11/30/2017

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 4,327,714	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710		
	0	5720	\$ 4,327,714	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): _		5750		
	0	5760	0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 788,041	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	7,593	5800		
D. Value of long option contracts	750	5810		
E. Value of short option contracts	(268)	5815	796,116	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): _		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): _		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): _		5950	0	5960
7. Segregated funds on hand (describe): _			0	5965
8. Total funds in separate section 30.7 accounts			\$ 5,123,830	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 1,335,049	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			1,000,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			335,049	5985